

# Advanced Human-Machine Interaction

## Interaction Data Analysis

### Automata / State Machine

**Alexandre Pauchet**

BO.B.RC.18, [alexandre.pauchet@insa-rouen.fr](mailto:alexandre.pauchet@insa-rouen.fr)

INSA Rouen Normandie - ASI departement

# Finite-state automata (1/8)

## Formal definition

### Finite-state automata

A *finite-state automaton* (or a *finite state machine*) is a 5-tuple

$A = (S, E, T, S_0, F)$  with

- $S$  a finite set of states,
- $E$  an alphabet,
- $T$  a state-transition function  $T : S \times E \rightarrow S$ ,
- $S_0 \subseteq S$  the set of initial states,
- $F \subseteq S$  the set of final states.

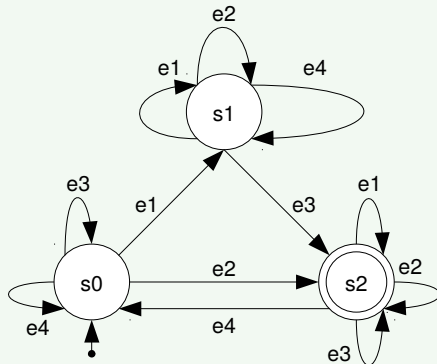
### Representation

A finite-state automaton can be represented as an oriented graph whose vertices symbolise states and annotated edges describe transitions.

# Finite-state automata (2/8)

## Example

### Example



- $S = \{s_0, s_1, s_2\}$ ,
- $E = \{e_1, e_2, e_3, e_4\}$ ,
- for  $T$ , see the figure,
- $s_0 \in S_0$  is the initial state,
- $s_2 \in F$  is the final state.

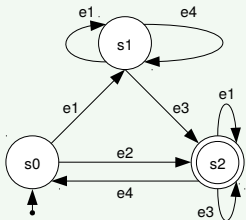
# Finite-state automata (3/8)

## Receptiveness

### Definition

An automaton in a given state may only be *receptive* to a subset of  $E$ . The domain of definition of  $T$  is no longer the cartesian product  $(S \times E)$  but a subset of this set.

### Example



# Finite-state automata (4/8)

## Determinism and execution

### Deterministic automaton

An automaton is called *deterministic* iff

- $\text{card}(S_0) = 1$ ,
- $\forall s \in S, \forall a \in E, \text{card}(T(s, a)) \leq 1$ .

### Execution

An *execution* is a sequence  $s_0 \xrightarrow{a_0} s_1 \xrightarrow{a_1} s_2 \dots$  in such a way that  $\forall i \geq 0, s_{i+1} \in T(s_i, a_i)$  and  $s_0 \in S_0$ .

# Finite-state automata (5/8)

## Words and language

### Word

A *word*  $m \in E^\omega$  is supported/accepted/generated by an execution

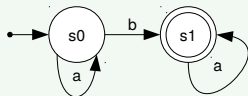
$c = s_0 \xrightarrow{a_0} s_1 \xrightarrow{a_1} s_2 \dots$  iff  $m = a_0 a_1 a_2 \dots$

$m$  can also be supported/accepted/generated by all the automata for which  $c$  is an execution.

### Language

The *language*  $L(A)$  is the (infinite) set of words accepted by  $A$ .

### Exemple



$$L(A) = \{b, ab, aab, \dots ba, baa, \dots, aba, \dots\}$$

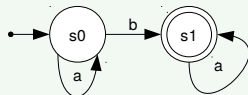
# Finite-state automata (6/8)

## Transitions tree

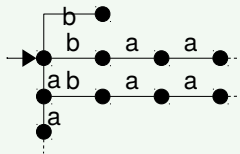
### Language representation

- The language  $L(A)$  of an automaton may be represented as a(n often infinite) tree.
- The language  $L(A)$  definition of an automaton can be compacted.

### Exemple



$$L(A) = \{a * ba*\}$$



## Finite-state automata (7/8)

### Particular notations

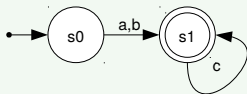
#### Word repetition

Let  $r$  be an execution such that  $r = \dots x * \dots$  with  $x \in E$  means that  $x$  is repeated an infinite number of times.

#### Multiple transitions

For  $T(e_1, a) = e_2$  and  $T(e_1, b) = e_2$  with  $a \neq b$  represented as “ $a, b$ ” for a unique transition in the corresponding automaton.  
In the language, the alternative ‘|’ is therefore used.

#### Exemple



$$L(A) = \{(a|b)c^*\}$$



# Finite state automata (8/8)

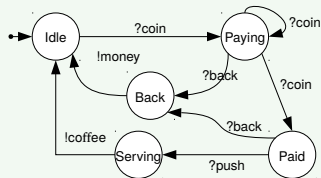
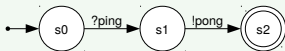
## Transitions

### Complex systems

When an automaton models an interacting system element, a specific notation can be used for transitions:

- $?$ : message reception or external action
- $!$ : message sending or internal action

### Exemples



# Hybrid automata(1/4)

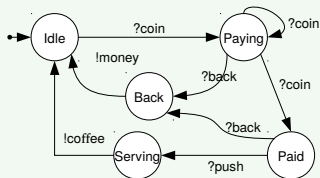
## Problem

## Goal

- Maintain determinism
- Maintain a limited number of states
- Configure automata / upgrade automata with variables

## Exemples

Non-deterministic automaton:



# Hybrid automata (2/4)

## Definition

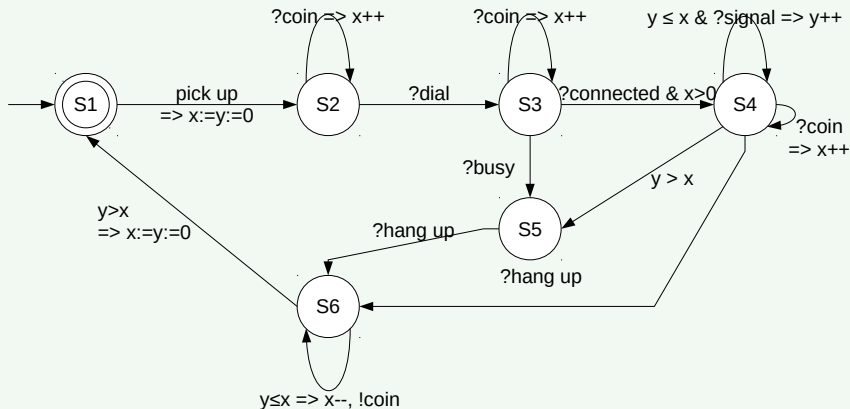
### Hybrid automata

- A *hybrid automaton* is an automaton to which we add internal variables (mainly counters) that the automaton can read or modify when enabling a transition. A transition
  - can be enabled if its *preconditions* (or *guards*) are verified,
  - can trigger a set of internal actions in order to modify its variables.
- Usually, a value assignment is noted ' $:=$ ' and an internal action can be specified as a ' $\Rightarrow$ '.
- Often, *semantics* are provided to clarify the transitions.

# Hybrid automata (3/4)

Example: phone box modelling

## Exemple



# Hybrid automata (4/4)

## Transition semantics

### Reduction rule

$$\{Preconditions\} \frac{s_i \xrightarrow{(!|?)message_a} s_f}{a_1; \dots; a_n}$$

with:

- $s_i$  the initial state,
- $s_f$  the final state,
- $message$  the message sent ! (resp. received ?) to (resp. from) the automaton,
- $a_1; \dots; a_n$  the internal actions to apply.

### Exemples

$$\{x > 0\} \frac{s_3 \xrightarrow{?connected} s_4}{\emptyset}$$

$$\{y \leq x\} \frac{s_4 \xrightarrow{?signal} s_4}{y++}$$

$$\{\} \frac{s_4 \xrightarrow{?coin} s_4}{x+++}$$

# Timed automaton (1/8)

## Motivation

### Timed automata goal

- Ensure the proper functioning of a system taking into account time constraints.  
Examples: a toaster, a server to synchronise, etc...
- Use proven models, enhancing them with time constraints
- Be limited to a model allowing the application of verification methods

# Timed automata (2/8)

## Description

### What is a timed automaton?

- Timed automaton = Finite-state automaton + a set of variables + a set of real-valued clocks
  - which increase simultaneously,
  - that can be reset independently
- A clock measures time elapsed since its last initialisation  
⇒ Interval measurement between two events
- In the basic model, there is no clock drift

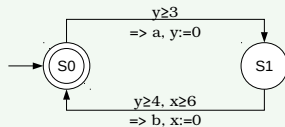
### Run

We call *run* a timed automaton execution.

# Timed automata (3/8)

## Example

### Timed automata examples



### Running example

- $(s_0, (0, 0)) \xrightarrow{a, 3(+3)} (s_1, (3, 0)) \xrightarrow{b, 7(+4)} (s_0, (0, 4)) \dots$
- $(s_0, (0, 0)) \xrightarrow{a, 3.2(+3.2)} (s_1, (3.2, 0)) \xrightarrow{b, 7.5(+4.3)} (s_0, (0, 4.3)) \dots$



## Timed automata (4/8)

### Formal definition

#### Definition

A *timed automaton* is a 7-tuple  $A = (S, E, S_I, S_F, X, I, T)$  with

- $S$  a final states set,
- $E$  a finite alphabet,
- $S_I$  the initial states set,
- $S_F$  the final states set,
- $X$  a finite set of clocks,
- $I : S \rightarrow C(X)$  associates each state with a time constraint,
- $T \subset S \times E \times C(X) \times 2^X \times S$  is a set of action transitions.

#### Exemple

$t_{i \rightarrow f} = \langle s_i, e, \alpha, \lambda, s_f \rangle \in T$  is a transition from  $s_i$  to  $s_f$ , guarded by a constraint  $\alpha$ , tagged with an event  $e$  which modifies clocks values following  $\lambda : X \rightarrow \mathbb{N}$ .

# Timed automata (5/8)

## Timed automaton semantics

### Tagged transitions

- 1 State:  $(s, v)$ 
  - $s \in S$  is a state,
  - $v$  is a *clock valuation*, i.e. a function associating a value with each clock of  $X$ .
- 2 Initial state:  $(s_0, v_0)$ 
  - $s_0 \in S_0$
  - $v_0(x) = 0, \forall x \in X$
- 3 A relation of transitions between states

# Timed automata (6/8)

## Transitions between states

### 2 types of state change

- 1 Caused by time flow:  $v$  evolves,  $s$  does not

$$(s, v) \xrightarrow{\delta} (s, v + \delta)$$

- 2 Caused by a change of location:  $v$  does not evolve,  $s$  does

$$(s, v) \xrightarrow{a} (s', v')$$

Observation: only the clocks reset by the transition does not have the same value in  $v$  and  $v'$

# Timed automata (7/8)

## Transition relation

### Property

$$(s_{i-1}, v_{i-1}) \xrightarrow{e_i, (\tau_i - \tau_{i-1})} (s_i, v_i)$$

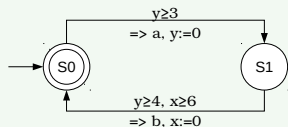
iff there is an edge  $\langle s_{i-1}, e_i, \phi_i, \lambda_i, s_i \rangle$  such that

- $v_{i-1} + (\tau_i - \tau_{i-1})$  satisfies  $\phi_i$
- $v_i = \lambda_i(v_{i-1})$

# Timed automata (8/8)

## Example

### Exemple



$$(s_0, (0, 0)) \xrightarrow{a, 3.2} (s_1, (3.2, 0)) \xrightarrow{b, T} (s_0, (X, Y)) \dots$$

$$v_{i-1} + (\tau_i - \tau_{i-1}) = \begin{cases} x : 3.2 + (T - 3.2) = T \\ y : 0 + (T - 3.2) = T - 3.2 \end{cases}$$

$$\phi_{s_1 \rightarrow s_0} = \begin{cases} x \geq 6 \\ y \geq 4 \end{cases} \text{ so } \phi_{s_1 \rightarrow s_0} \text{ True} \Rightarrow \begin{cases} T \geq 6 \\ T - 3.2 \geq 4 \end{cases} \text{ and so } T \geq 7.2$$

$$\text{e.g.: } T = 7.2, v_{i-1} + (\tau_i - \tau_{i-1}) = (7.2, 4), \Rightarrow v_i = (0, 4)$$

# Conclusion (1/3)

## Limitations of finite-states automata

### Limitations and solutions

**1 automaton = 1 entity of the system**

- How to coordinate 2 entities?  
⇒ Communication between automata (*synchronous/asynchronous*)
- Is it possible to introduce some kind of memory?  
⇒ Hybrid automata
- How to represent time-related transitions?  
⇒ Timed automata
- How to represent a complex system?  
⇒ Automata network, *Petri* network
- Automatised construction of automata?  
⇒ Supervised/unsupervised learning

# Conclusion (2/3)

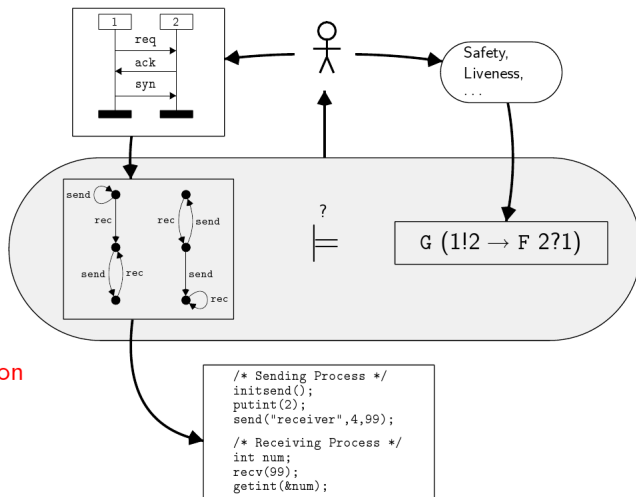
## Modelling and verification

specification

synthesis

modeling &  
verification

code generation



# Conclusion (3/3)

## References

### Links

- <http://www.pps.jussieu.fr/~rifflet/enseignements/AF3/>
- <http://www-igm.univ-mlv.fr/~desar/Cours/automates/ch1.pdf>
- <http://mpri-wiki.inria.fr/bin/view/WebSite/2007-2008-C-2-8>
- <http://lifc.univ-fcomte.fr/~julliand/CoursAutomates.pdf>
- [http://www-master.ufr-info-p6.jussieu.fr/2005/IMG/pdf/Automates\\_tempo.pdf](http://www-master.ufr-info-p6.jussieu.fr/2005/IMG/pdf/Automates_tempo.pdf)
- <http://www.lamsade.dauphine.fr/~berard/PDF/MCT.pdf>
- <http://www.loria.fr/~bourniez/cours/CoursDEAModelChecking/10-N-AutomatesTemporises.pdf>

### Article

- "A theory of timed automata", R. Alur and D. L. Dill, in Theoretical computer science, volume 126, pp. 183-235, 1994.